
Safety Assessment and Optimization of Semi-Batch Reactions by Calorimetry

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INTRODUCTION

◆ In the fine Chemicals industry

- comparatively **small quantities** are produced
- most of the reactions are performed in **discontinuous reactors**
- often equipped as **multipurpose units**, allowing a flexible operation

◆ This type of practice

- results in a **different approach** of process development
- the scale-up becoming an **adaptation of the process to given equipment rather than designing an equipment** for a given process
- the **control of the reaction course** becomes a concern, which requires quite a lot of effort during process development
- make the **safety evaluation of the process** an important task

INTRODUCTION

- ◆ **Semi-batch reactors are widely spread in the fine chemicals industry**
 - compared to the pure batch operation, the **feed** of at least one of the reactants **provides an additional way of controlling the reaction course**, which represents a **safety factor** and increases the **constancy of the product quality**
 - Process **temperature** and **feed rate** can be optimized to satisfy safety constraints (cooling capacity, allowable accumulation)
 - An experimental method based on **calorimetry** will be presented and illustrated by an example

INTRODUCTION

- ◆ A reactor will be **considered to be safe** if the temperature course can be controlled actively by the heat exchange system **during normal operation**
- ◆ **Even if a deviation** from these operating conditions occurs, due for example to an equipment failure, it shall **not lead to a critical situation**
 - A critical situation is a state where the reactor becomes uncontrollable
 - as for example if secondary decomposition reactions are triggered or if the pressure increases provoking the rupture of the reactor

Principles of the safety assessment of SBR

◆ With respect to safety two objectives have to be realized

- the **control of the reaction rate** in order to ensure a **smooth temperature control** even for strongly exothermic reactions
- **to limit the accumulation** of non converted reactants in order to also limit the temperature excursion in case of a malfunction



accumulation is the result of an **inappropriate feed rate** compared to the reaction rate

Principles of the safety assessment of SBR

- ◆ For a discontinuous exothermic reactions performed in stirred tank reactors, the safety evaluation may be summarized in two key-questions
 - Can the heat of the reaction be removed by the cooling system of the reactor under normal operating conditions?
 - Which temperature can be reached in case of a cooling failure and what are the consequences?

Nomal Operating Condition

- ◆ The **heat balance** of the reactor is governed by the **cooling capacity** of the reactor
- ◆ Depending on the **temperature control strategy**, the conditions can be formulated in different ways
- ◆ **In case of adiabatic reaction**
 - **no cooling** is required
 - the normal operating conditions correspond to a **cooling failure**
 - This type of temperature control is used only in seldom cases and for **weakly exothermic reactions**

Nomal Operating Condition

- ◆ A more common way of temperature control is the isoperibolic mode
 - The temperature of the reaction mass being allowed to vary according to the heat release of the reaction
 - The main problem : the possible **parametric sensitivity of the reactor**



even small perturbations on the process conditions may lead to dramatic changes, i.e. **runaway**, in the temperature of the reactor contents

Normal Operating Condition

◆ In case of isothermal mode

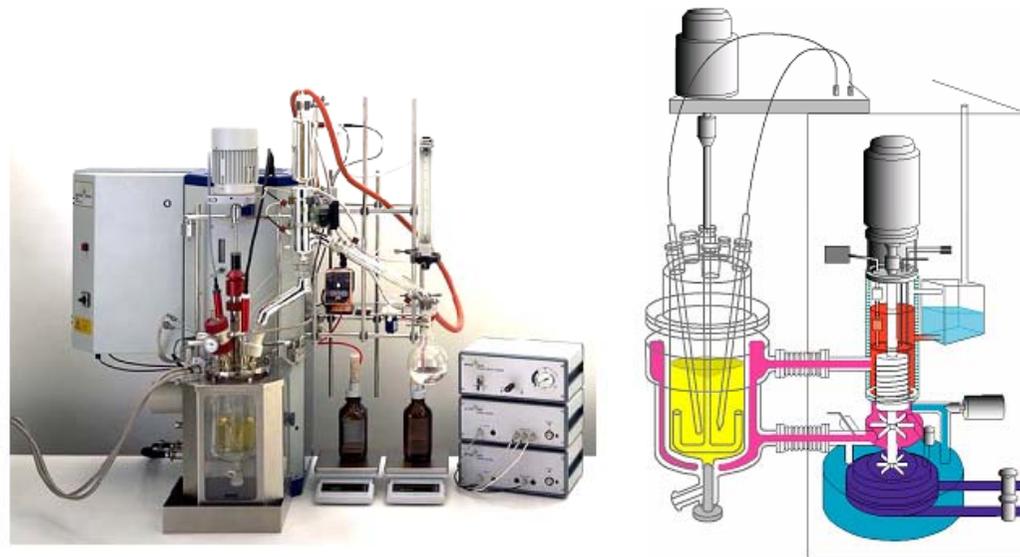
- fine chemicals industry modern cascade type temperature controllers allow the isothermal operation
- Ensure a **better reproducibility** of the reaction course and consequently of the **product quality**
- The **cooling capacity** of the reactor must exactly **compensate** the **heat released** by the reaction at any time
- To ensure a safe scale-up of the process, the knowledge of the **heat release rate** of the reaction is required
- **calorimetric methods** are well adapted to determine the required parameters to ensure safe operation like the **maximum heat release rate and the accumulation**

Safety after a failure

- ◆ The second question **deals with safety after a deviation** from the normal operating conditions
- ◆ The possible and credible **deviations must be identified** during a systematic risk analysis of the process
 - Experience has shown that the prime causes of runaway incidents are technical failures like agitator or cooling system and unwanted side reactions like decomposition reactions
- ◆ Processes can **be designed to remain safe**, even in the case of an equipment failure, **by limiting the accumulation** of non converted material
- ◆ It is the aim of this work to **present a methodology for the safety assessment** and for the **design of safe semi -batch processes** with reduced kinetic information.

Reaction calorimetry for a safety evaluation

- ◆ Reaction calorimetry is a powerful tool for this task
 - it allows performing a reaction under **conditions** close to those that will be **used at industrial scale**, which is essential for scale-up purposes
 - it allows determining **essential parameters** for process development purposes and chemical engineering



Reaction calorimetry for a safety evaluation

◆ Methodology

- A general approach of a safety study for a semi -batch reactor, in the frame of scale-up will be illustrated by the example of a **single bimolecular second order reaction**, followed by a **decomposition reaction**
- The process must be scaled up to an industrial reactor of 4 m³ nominal volume
- The reaction scheme is: $A + B \rightarrow P \rightarrow S$ where the first reaction is overall second order. The decomposition reaction is first order in P

Reaction calorimetry for a safety evaluation

- The **physical chemical** and **kinetic data** of the reaction system

Table 1: Data used for the simulation

Reaction Data	Decomposition reaction	Reactor data
$\Delta H_R = -200 \text{ kJ/mol}$ $E_a = 60 \text{ kJ/mol}$ $k_\infty = 10^9 \text{ kg/(mol.h)}$ $C_{p'} = 1.7 \text{ kJ/(kg.K)}$ $CA_0 = 2 \text{ mol/kg}$ $M = 1.2$ $\rho = 1000 \text{ kg/m}^3$	$\Delta H_R = -500 \text{ kJ/mol}$ $E_a = 100 \text{ kJ/mol}$ $k_\infty = 5 \cdot 10^{10} \text{ h}^{-1}$	$V_0 = 3 \text{ m}^3$ $V_f = 4 \text{ m}^3$ $A = 7.4 \text{ m}^2$ $U = 150 \text{ W/(m}^2 \cdot \text{K)}$ $T_{\text{cool}} = 30 \text{ }^\circ\text{C}$

- The reaction calorimetric experiment is supposed to be performed in a **RC1 calorimeter** using the conditions **for the large scale equipment**
- the temperature is $60 \text{ }^\circ\text{C}$ for a feed at constant rate during 5 hrs

Reaction calorimetry for a safety evaluation

◆ Result of the reaction calorimeter experiment(1)

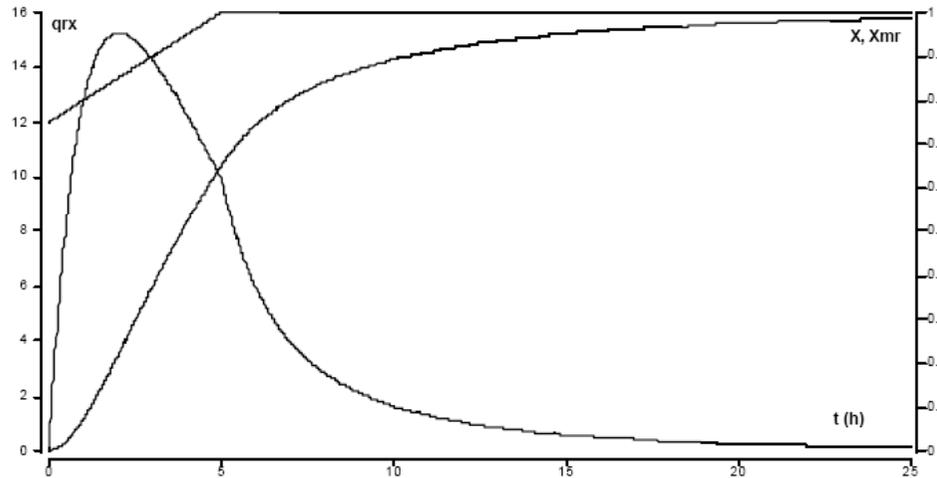


Fig. 1: Result of the reaction calorimeter experiment, heat release rate of the reaction, thermal conversion and feed.

- maximum heat release rate : about 15 W/kg
- cooling capacity of the plant equipment : about 10 W/kg
- **cooling capacity : insufficient to maintain isothermal conditions at plant scale**
- obtain heat of reaction of 300 kJ/kg and the conversion curve

Reaction calorimetry for a safety evaluation

◆ Result of the reaction calorimeter experiment(2)

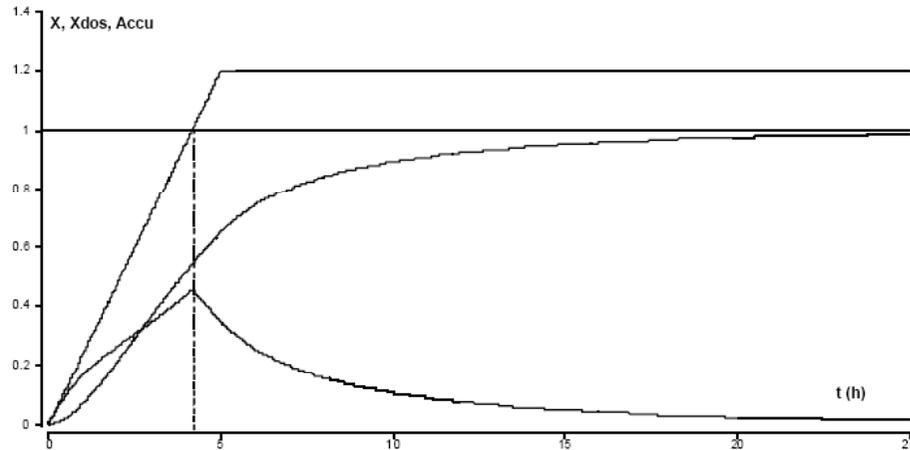


Fig. 2: Result of the reaction calorimeter experiment, feed normalized at stoichiometry, thermal conversion and accumulation.

- heat of reaction and conversion **has been normalized to the stoichiometry** since an excess of 20 % has been added
- This allows **calculating the accumulation** as the difference between the feed (up to 100%) and the thermal conversion

Reaction calorimetry for a safety evaluation

◆ Basis of calculation of the MTSR (from Results of RC experiment)

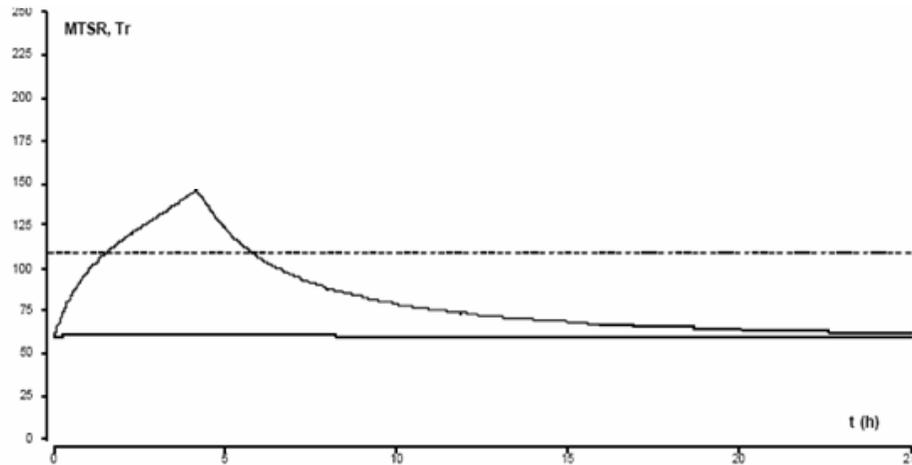


Fig 3: Evaluation of the reaction calorimeter experiment, calculation of the MTSR.

$$MTSR = T_p + X_{acc,max} \cdot \Delta T_{ad} \cdot M_{Rf} / M_{R,max}$$

M_{Rf} Mass of reaction mixture at end of feed (4000 kg)
 $M_{R,max}$ Mass of reaction mixture at stoichiometric point (3833 kg)

- The MTSR of 148 °C is reached in case of a failure at after a feed time of 4.17 hrs, i.e. at the stoichiometric point.
- The consequences of a cooling failure would be a fast temperature increase up to about 150 °C.

Reaction calorimetry for a safety evaluation

◆ The pressure of the system at this temperature and the thermal stability

- The thermal stability of the reaction mixture can be characterized by the Time to Maximum Rate under adiabatic conditions (TMR_{ad})
- TMR_{ad} gives an idea of the time left to take measures to avoid the runaway of the decomposition reaction
- Thermal stability of the final reaction mass

Table 2: Thermal stability of the final reaction mass.

T (°C)	90	95	100	105	110	115
qmax (W/kg)	0.05	0.08	0.12	0.18	0.27	0.40
TMR _{ad} (h)	110	70	47	31	22	15

- This temperature allows ensuring a TMR_{ad} of approx. 20 hours, which is the generally used criterion

Reaction calorimetry for a safety evaluation

- 110 °C will be considered as the maximum allowed temperature with respect to the thermal stability of the reaction mixture
- ◆ Starting from the MTSR = 150 °C, a cooling failure would definitely lead to a critical situation: the thermal explosion would take place within minutes.
- ◆ The process must be assessed to be very critical

Improving the process safety

- ◆ **The example process presents two major problems**
 - **the heat release rate of the reaction is too high** compared to the available cooling capacity
 - **the accumulation** of non converted reactants **may lead to a thermal explosion** in case of cooling failure
- ◆ Reducing the heat release rate can be achieved **by reducing the reaction rate**, for example by decreasing the feed rate
- ◆ Since the **accumulation** is the result of a **discrepancy between feed rate and reaction rate** it can also be reduced by decreasing the feed rate

The feed rate is an important design factor for semi-batch operations

Improving the process safety

- ◆ Effect of the feed rate on the heat release rate and MTSR, feed time 5, 10 and 15 h (feed rate : constant)

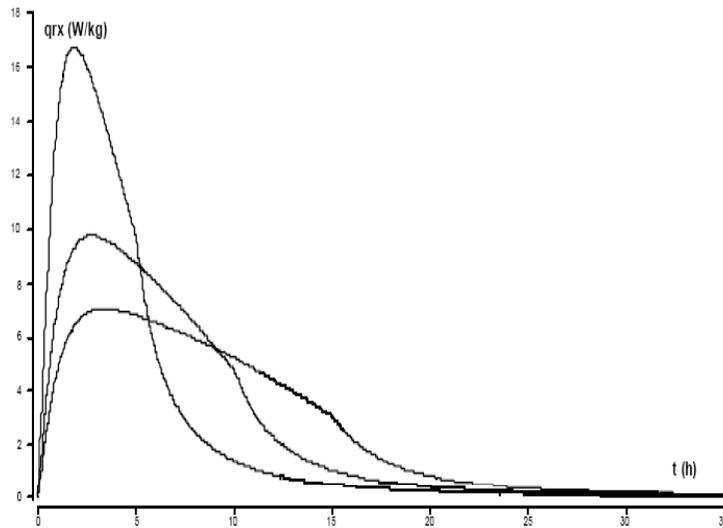


Fig. 4: Effect of the feed rate on the heat release rate, feed time 5, 10 and 15 hrs.

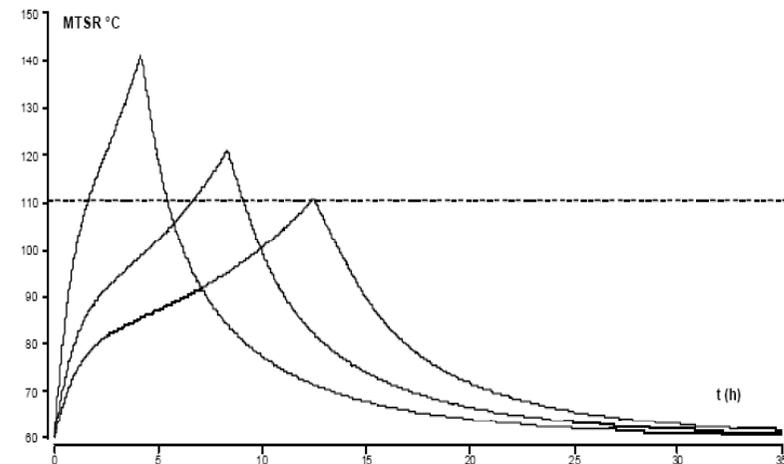


Fig. 5: Effect of the feed rate on the MTSR, feed time 5, 10 and 15 hrs.

- **The draw back** of these solutions is an important **increase of the cycle time**, meaning a loss of productivity that is not compatible with the economy of the process (95 % conversion : 21 h)

Improving the process safety

◆ A better solution is to try to increase the process temperature

- increase the available **temperature difference** with the cooling system linearly and the **reaction rate** exponentially, **reducing the accumulation** by the same way
- This **temperature increase** can be driven up to a level where **the initial temperature is too high to ensure the thermal stability** of the reaction mass

Improving the process safety

- ◆ **Temperature course** after a cooling failure at the instant of maximum accumulation with **3 different process temperatures**

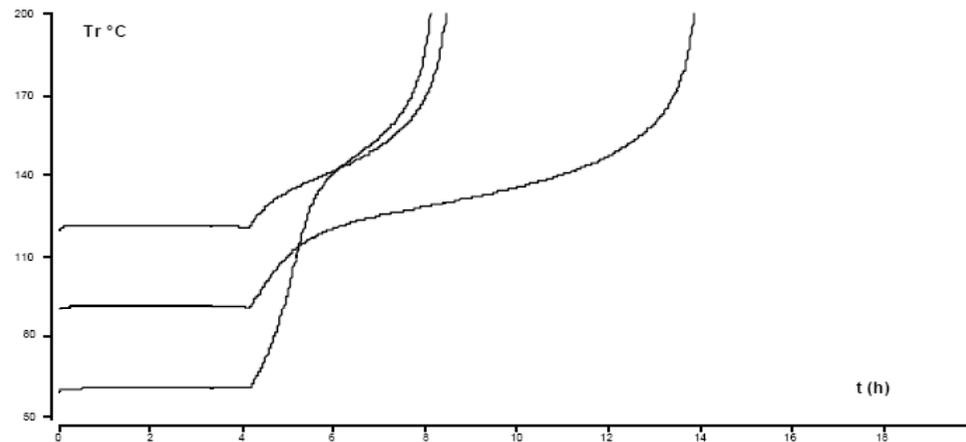


Fig.6: Temperature course after a cooling failure at the instant of maximum accumulation with 3 different process temperatures: 60, 90 and 120 °C, with a feed time of 5 hrs, the failure occurring at 4.17 hrs

- maximum heat release :
15 W/kg(60 °C)
23 W/kg(90 °C)
26 W/kg(120 °C)

- cooling capacity : 10 W/kg(60 °C), 22 W/kg(90 °C), **33 W/kg(120 °C)**
- at 120 °C, the **runaway of the decomposition reaction would occur** some 3 hours after the cooling failure (with the reduced accumulation)

Improving the process safety

- **At the lower temperature**, the **accumulation is so large**, that on malfunction the runaway immediately leads to a temperature range where the **secondary decomposition reaction** also runs away very fast
- **At the high temperature**, if the desired reaction proceeds with **very small accumulation**, in case of malfunction, the **initial temperature level is so high** that the secondary reaction immediately takes a runaway course
- **The optimum temperature** allows to stabilize the temperature at an intermediate level, where **enough time is available to take counter measures** (emergency cooling, dumping, flooding etc.)

Improving the process safety

- ◆ A possible process respecting both constraints, the cooling capacity and the MTSR is a temperature of $75\text{ }^{\circ}\text{C}$ with a constant feed rate during 13 h

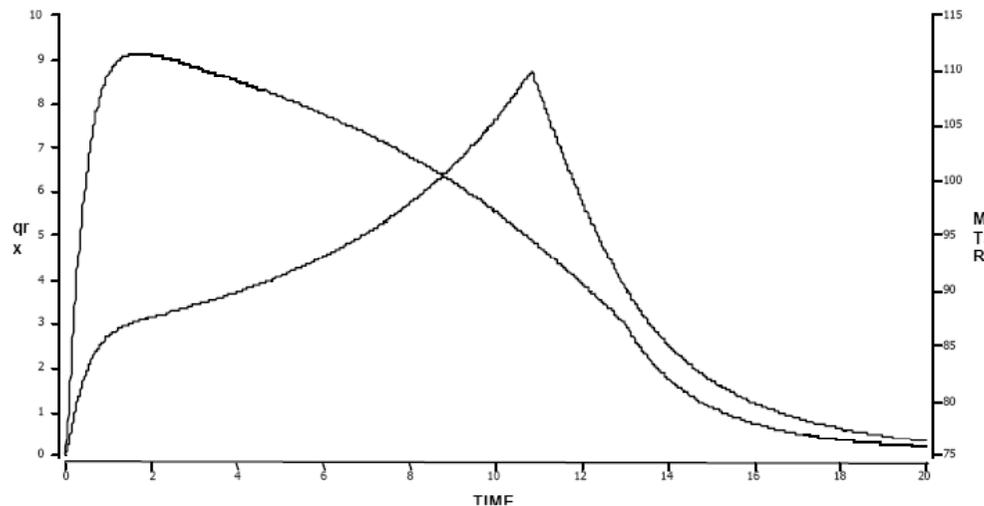


Figure 7: Thermogram of a process respecting the constraints. The heat release rate of the reaction and the MTSR are shown.

- This process fulfills the safety criteria and the time required to achieve 95 % conversion was reduced to 14.2 h

Improvement of the productivity by modulation

◆ Principles of the method

- the maximum allowed temperature is just reached at one point, namely at the stoichiometric point
- during the beginning of the reaction before the stoichiometric point, the process could tolerate a higher accumulation
- a higher accumulation would improve the productivity ($C_B \uparrow \rightarrow r \uparrow$)
- After the stoichiometric point, the accumulation of B plays no more any role, since it is in stoichiometric excess → the accumulation is driven by the concentration of A (Initially charged to the reactor)



Consequently the remaining B (the stoichiometric excess) could be added much faster, without creating any risk in case of a failure.

Improvement of the productivity by modulation

◆ three constraints on the addition rate

- the **heat release rate** must stay **below the cooling capacity**
- the **accumulation** must stay **below** a critical level defined by the **MTSR** relative to the maximum allowed temperature
- the feed rate is physically limited

Improvement of the productivity by modulation

◆ Reaction with modulated feed

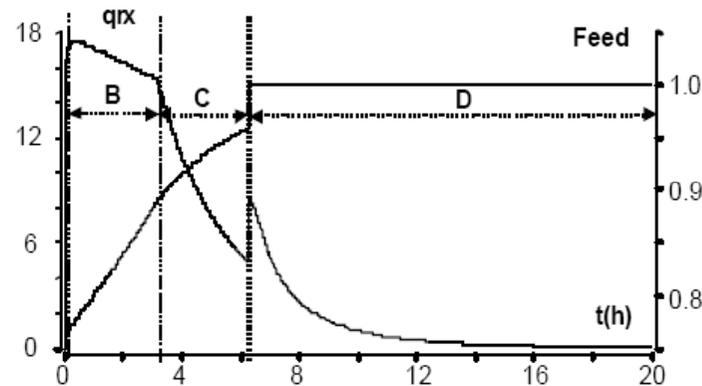


Fig. 8: Reaction with modulated feed. Heat Release rate of the reaction, and feed.

- the feed is at its maximum rate until the constraint of the cooling capacity is reached (Period A)
- the feed rate is adapted to the cooling capacity (Period B) until the accumulation becomes too important (Period C)
- After the stoichiometric point, the feed rate is again at its maximum value (Period D)

Improvement of the productivity by modulation

◆ comparison of optimized process with constant feed rate and modulated feed rate

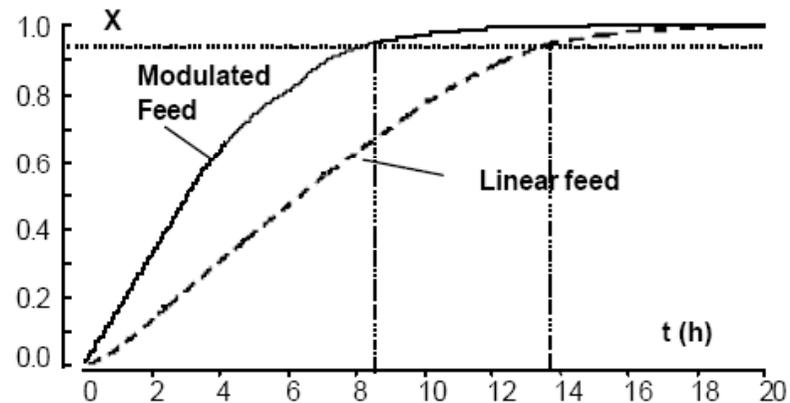


Figure 10: Comparison of optimized process with constant feed rate and modulated feed rate: conversion.

- with the traditional process the conversion of 95% is reached after 14.2 h
- with the modulated feed the conversion of 95% is reached within 8.9 h
- this represents a gain of over 37%, which will shorten the cycle time in an interesting way.

Improvement of the productivity by modulation

◆ Experimental realization

- It was also verified, by experimental simulation of a cooling failure (setting the calorimeter to adiabatic mode) that the constraints are really respected
- The on-line heat balance was implemented on a Mettler RC1 Reaction calorimeter, but it can be implemented on industrial reactors as well

conclusion

- ◆ It was shown **how reaction calorimetry can be used to evaluate the safety** of semi-batch processes
- ◆ This evaluation was **performed without** any explicit knowledge of the **kinetic parameters** of the reaction
- ◆ The assessment essentially answers **two questions**
 - Can the reaction temperature be controlled under **normal operating conditions (scale-up)** ?
 - What would be the consequences of a **cooling failure**?
- ◆ the **process temperature** and **feed rate** can be **optimized** to satisfy the safety constraints
 - the cooling capacity and the allowable accumulation

conclusion

- ◆ **An economically better way** of operating a semi-batch reactor is to adapt the feed rate to the **allowed accumulation of reactants**
 - This implies to **be able to track the accumulation** during the reaction and to use this information to control the addition rate of the reactant
 - The method can also be **used in industrial reactors** and can be extended to **more complex reaction kinetics**
- ◆ **By combining** the **optimization of the productivity** with the **constraint of safety**, it represents a **useful tool** in the frame of development of inherently safer processes